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FORM PTO-1390 U.S. DEPARTMENT O. MERCE PATENT AND TRADEMARK OFFICE		A NEY 'S DOCKET NUMBER					
(REV. 9-2001)	085907-000000US						
TRANSMITTAL LETTER TO THE UNITED STATES							
DESIGNATED/ELECTED OFFICE (DO/EO/US)		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
CONCERNING A FILING UNDER 35 U.S.C. 371		unassigne 10/070013					
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
PCT/CA00/00995	01 September 2000 (01.09.2000)	01 September 1999 (01.09.1999)					
TITLE OF INVENTION							
IMPROVED METHOD AND APPARATUS FOR UP-CONVERSION OF RADIO FREQUENCY (RF) SIGNALS							
ADDITION FOR DO FOR IO							
APPLICANT(S) FOR DO/EO/US MANKU, Tajinder, et al.							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
		under 36 U.S.C. 371					
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 36 U.S.C. 371.							
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.							
4. \times The US has been elected by the expiration of 19 months from the priority date (Article 31).							
5. A copy of the International Applicat	ion as filed (35 U.S.C. 37(c)(2))						
a.  is attached hereto (required only if not communicated by the International Bureau).							
b.  has been communicated by the International Bureau							
c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
	he International Application as filed (35 U.S.	<u> </u>					
a. is attached hereto.							
	ted under 35 U.S.C. 154(d)(4).						
	ternational Application under PCT Article 19	(35 U.S.C. 371(c)(3))					
<ul> <li>a. are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. have been communicated by the International Bureau.</li> </ul>							
		sta has NOT assisted					
_	ver, the time limit for making such amendmen	ns has NOT expired.					
d. A have not been made and will not be made.							
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).							
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:							
11. An Information Disclosure Statemer	nt under 37 CFR 1.97 and 1.98.						
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. X A FIRST preliminary amendment.							
14. A SECOND or SUBSEQUENT preliminary amendment.							
15. A substitute specification.							
16. A change of power of attorney and/or address letter.							
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.							
18. A second copy of the published international application under 36 U.S.C.							
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20. ☑ Other items or information:							
a. Express Mail Label No. EL585155653US mailed on February 28, 2002							
b. Return Postcard							
c. International Publication No. WO 01/17121 A1							
d. Written Opinion							
e. Response to Written Opinion							
c. Response to written Opinion							

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	ring fees are submitted:	<del></del>		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL	L FEE (37 CFR 1.492(A)	(1) – (5)):			<del></del>
Neither international	preliminary examination fee	e (37 CFR 1.492)	-		
	ch fee (37 CFR 1.445(a)(2))	) paid to USPTO the EPO or JPO	\$1040.00		
and international Scal	cii report not prepared by	uic Ei O 01 31 O	91040.00		
	ary examination fee (37 CF				
USPTO but Internation	nal Search report prepared	by the EPO of JPO	\$890.00		
International prelimin	агу examination fee (37 CF	R 1.482) not paid to			
USPTO but internatio	nal search fee (37 CFR 1.4	45(a)(2)) paid to USPTO	\$740.00		
International prelimin	ary examination fee (37 CF	R 1 482) paid to			
		of PCT Article 33(1)-(4)	\$710.00		
		TD 1 400			
	ary examination fee (37 CF	R 1.482) paid to T Article 33(1)(4)	\$100.00		
	<u>-</u>				7
	TER APPROPRIATE BA			\$890.00	
	for furnishing the oath or d est claimed priority date (3)	leclaration later than 20	□ 30	\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	S	
Total claims	31 - 20 =	11	x \$18.00	\$198.00	
Independent claims	2-3 =	0	x \$84.00	\$0	
MULTIPLE DEPENI	DENT CLAIM(S) (if applic	OF ABOVE CALCUL	+ 280.00	\$0 \$198.00	
Applicant clair		See 37 CFR 1.27. The fees		<b>\$170.00</b>	
above are redu		Sec 37 Of R 1.27. The fees	+	\$0	
		SIII	BTOTAL =		
D	0 00 f f			\$1088.00	
	u.uu for furnishing the Eng. est claimed priority date (3)	lish translation later than 2 7 CFT 1.492(f).	20 🔲 30	\$0	
		TOTAL NATION	AL FEE =	\$1088.00	
		FR 1.2(h)). The assignment r			
accompanied by an ap	propriate cover sheet (37 C	CFR 3.28, 3.31). \$40.00 per p		\$0	
		TOTAL FEES ENC	LOSED =	\$1088.00	
				Amount to be refunded:	\$0
				charged:	\$1088.00
a. A check in	the amount of \$	to cover the above fe	es is enclosed.		
b. Nease cha	rge my Deposit Account N	o. <u>20-1430</u> in the amount of 3	1088.00 to cov	er the above fees.	
c.  The Comn	nissioner is hereby authoriz	ed to charge any additional fe	es which may b	e required, or credit any	
1	<u>-</u>	20-1430. A duplicate copy of			
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
Information	)n should not be included	on this form. Thorac cicar	card informatio	on and addionization on i	10-2058.
NOTE: Where an a	nnronriate time limit und	er 37 CFR 1.494 or 1.495 ha	s not been met	a netition to revive (37	CFR
		store the application to pen		, a petition to revive (5)	
		•	1	-HD ///.	
SEND ALL CORRES	SPONDENCE TO:		Ben	nelle K. Alli	February 28, 2002
			SIGNATURE	3	DATE
Townsend and Towns					
2 Embarcadero Cente			Kenneth R	. Allen	<u> </u>
San Francisco, CA 94	4111		NAME		
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